

Form PTO-1449

Atty Docket No.

Serial No.

SYMC1034

10/611,472

Applicant(s)

Peter Szor

Filing Date

June 30, 2003

Group

2131

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| <i>[Signature]</i> | AA | 6,357,008 | 03/12/02 | Nachenberg | 713 | 200 | |
| | AB | 6,301,699 | 10/09/01 | Hollander et al. | 717 | 4 | |
| <i>[Signature]</i> | AC | 5,822,517 | 10/13/98 | Dotan | 395 | 186 | |
| <i>[Signature]</i> | AD | 5,696,822 | 12/09/97 | Nachenberg | 380 | 4 | |
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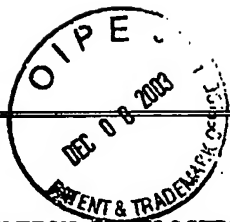
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| <i>[Signature]</i> | AR | Szor, P., "Attacks on WIN32", Virus Bulletin Conference, October 1998, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 57-84. |
| <i>[Signature]</i> | AS | Szor, P., "Memory Scanning Under Windows NT", Virus Bulletin Conference, September 1999, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 1-22. |
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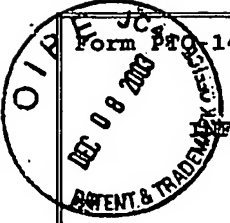


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|  | AR | Szor, P., "Attacks on WIN32-Part II", Virus Bulletin Conference, September 2000, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 47-68. |
|  | AS | Chien, E. and Szor, P., "Blended Attacks Exploits, Vulnerabilities and Buffer-Overflow Techniques In Computer Viruses", Virus Bulletin Conference, September 2002, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 1-36. |

Examiner

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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
|  | | AR | Buysse, J., "Virtual Memory: Window NT [®] Implementation", pp. 1-15 [online]. Retrieved on April 16, 2003. Retrieved from the Internet: <URL:http://people.msoe.edu/~barnicks/courses/cs384/papers19992000/buyssej-Term.pdf>. | | | | | |
|  | | AS | Dabak, P., Borate, M. and Phadke, S., "Hooking Windows NT System Services", pp. 1-8 [online]. Retrieved on April 16, 2003. Retrieved from the Internet: <URL:http://www.windowsitlibrary.com/Content/356/06/2.html>. | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|  | AR | "How Entercept Protects: System Call Interception", pp. 1-2 [online]. Retrieved on April 16, 2003. Retrieved from the Internet:<URL:http://www.entercept.com/products/technology/kernelmode.asp>. No author provided. |
|  | AS | "How Entercept Protects: System Call Interception", pg. 1 [online]. Retrieved on April 16, 2003. Retrieved from the Internet:<URL:http://www.entercept.com/products/technology/interception.asp>. No author provided. |

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Sheet 5 of 8

Form PTO-1449

PATENT & TRADEMARK OFFICE

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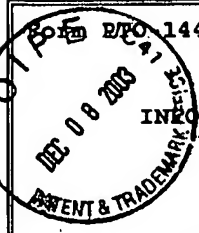

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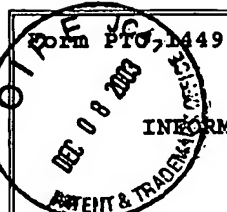
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|  | AS | Szor, P. and Kaspersky, E., "The Evolution of 32-Bit Windows Viruses", Windows & .NET Magazine, pp. 1-4 [online]. Retrieved on April 16, 2003. Retrieved from the Internet:<URL:http://www.winnetmag.com/Articles/Print.cfm?ArticleID=8773>. |

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|  | AR | Szor, P., "The New 32-bit Medusa", Virus Bulletin, December 2000, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 8-10. | | | | | |
| | AS | Szor, P., "Shelling Out", Virus Bulletin, February 1997, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 6-7. | | | | | |
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|  | AS | Nachenberg, C., "A New Technique for Detecting Polymorphic Computer Viruses", University of California, Los Angeles, 1995 |

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| AS | "CreateFileMapping", pp. 1-5 [online]. Retrieved on September 10, 2003. Retrieved from the Internet: <URL:http://msdn.Microsoft.com/library/en-us/fileio/base/createfilemapping.asp?frame=true> No author provided. |

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| | AM | Kephart et al., "Blueprint for a Computer Immune System" pp 1-14 [Online], October 1, 1997. Retrieved on July 7, 1999 from the Internet: <URL:http://www.av.ibm.com/InsideTheLab/Bookshelf/ScientificPapers/Kephart/VB>. (XP002108500) |
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